

MARKET PROCEDURE: INFORMATION CONFIDENTIALITY

PREPARED BY: Market Development (WA)
DOCUMENT REF:
VERSION: 2.0
EFFECTIVE DATE: 30 November 2015
STATUS: FINAL

Approved for distribution and use by:

APPROVED BY: Erin Stone
TITLE: Group Manager, Development and Capacity (WA)

VERSION RELEASE HISTORY

| Version | Effective Date | Summary of Changes |
|---------|------------------|---|
| 1.0 | 15 December 2006 | Market Procedure for Information Confidentiality |
| 2.0 | 30 November 2015 | Changes resulting from the transfer of functions from the IMO to AEMO |

CONTENTS

| | |
|---|----|
| CHAPTER 1. INFORMATION CONFIDENTIALITY PROCEDURE | 4 |
| 1.1. Interpretation | 4 |
| 1.2. Purpose | 4 |
| 1.3. Classes of confidentiality status | 4 |
| 1.4. Use of Restricted and Confidential information in reports and documents. | 5 |
| 1.5. Public Status | 5 |
| 1.6. SWIS Restricted Status | 9 |
| 1.7. Rule Participant Market Restricted | 9 |
| 1.8. Rule Participant Dispatch Restricted Status | 10 |
| 1.9. System Management Confidential | 10 |
| 1.10. IMO and AEMO Confidential | 10 |

CHAPTER 1. INFORMATION CONFIDENTIALITY PROCEDURE

The Information Confidentiality Procedure outlines the confidentiality status for market related information and documents produced or exchanged in accordance with the Market Rules or Market Procedures.

This procedure is made in accordance with Market Rule 10.2.1.

1.1. Interpretation

1.1.1. In this procedure, unless the contrary intention is expressed:

- (a) terms used in this procedure have the same meaning as those given in the Wholesale Electricity *Market Rules* (made pursuant to the Electricity Industry (Wholesale Electricity Market) Regulations 2004);
- (b) to the extent that this procedure is contrary or inconsistent with the Market Rules, the Market Rules shall prevail to the extent of the inconsistency;
- (c) a reference to the Market Rules or Market Procedures includes any associated forms required or contemplated by the Market Rules or Market Procedures; and
- (d) words expressed in the singular include the plural or vice versa. Purpose of this Procedure

1.2. Purpose

1.2.1. The purpose of this procedure is to:

- (e) outline the types of market related information and documents to be produced or exchanged in accordance with the Market Rules or Market Procedures; and
- (f) to allocate a confidentiality status to the relevant types of information or documents.

1.3. Classes of confidentiality status

1.3.1. Pursuant to Market Rule 10.2.2, the classes of confidentiality status comprise:

- (a) Public - the relevant information or documents may be made available to any person by any person;
- (b) SWIS Restricted - the relevant information or documents may only be made available to:
 - (i) Rule Participants;
 - (ii) the Market Advisory Committee;
 - (iii) the IMO
 - (iv) AEMO;
 - (v) the Energy Review Board;
 - (vi) the Economic Regulation Authority; and
 - (vii) other Regulatory or Government Agencies in accord with applicable laws;
- (c) Rule Participant Market Restricted - the relevant information or documents may only be made available to:
 - (i) a specific Rule Participant;
 - (ii) the IMO;
 - (iii) AEMO

- (iv) the Energy Review Board;
- (v) the Economic Regulation Authority; and
- (vi) other Regulatory or Government Agencies in accord with applicable laws;
- (d) Rule Participant Dispatch Restricted – the relevant information or documents may only be made available to:
 - (i) a specific Rule Participant;
 - (ii) System Management;
 - (iii) the IMO;
 - (iv) AEMO
 - (v) the Energy Review Board;
 - (vi) the Economic Regulation Authority; and
 - (vii) other Regulatory or Government Agencies in accord with applicable laws;
- (e) System Management Confidential – the relevant information or documents may only be made available to:
 - (i) System Management;
 - (ii) AEMO
 - (iii) the IMO;
 - (iv) the Energy Review Board;
 - (v) the Economic Regulation Authority; and
 - (vi) other Regulatory or Government Agencies in accord with applicable laws;
- (f) IMO and AEMO Confidential - the relevant information or documents may only be made available to:
 - (i) the IMO;
 - (ii) AEMO
 - (iii) the Energy Review Board;
 - (iv) the Economic Regulation Authority; and
 - (v) other Regulatory or Government Agencies in accord with applicable laws.

1.4. Use of Restricted and Confidential information in reports and documents.

Nothing in this procedure prevents the disclosure, use or reproduction of market information as an unidentifiable component of aggregated information for the purpose of any document or report required to be produced by the Market Rules.

1.5. Public Status

- 1.5.1. Unless otherwise provided in the Market Rules, the types of market related information and documents listed shall have the confidentiality status of Public.
- (a) Market Rules, current version.
 - (b) Market Procedures, current version.
 - (c) Information on the records to be maintained by participants.
 - (d) The list of information and documents that must be retained by participants, in accordance with to Market Rule 10.1.1.

- (e) Information on initiating Rule Change Proposals and Procedure Change Proposals.
- (f) Rule Change Proposals, open to public comment, in accordance with Market Rule 2.7.
- (g) Information on changes to Market Rules yet to commence.
- (h) Procedure Change Proposals, open to public comment, in accordance with Market Rule 2.10.
- (i) Information on changes to Market Procedures yet to commence.
- (j) Summary of Rule Change Proposals and Procedure Change Proposals no longer open to public comment.
- (k) Market Participant details, including
 - (i) name;
 - (ii) mailing address, telephone and facsimile number;
 - (iii) name and title of contact person;
 - (iv) details of applicable licenses held;
 - (v) applicable Rule Participant classes;
 - (vi) applicable Market Participant classes;
 - (vii) names and capacities of Registered Facilities.
- (l) Basis for determining the Bank Bill Rate and AEMO Credit Rate.
- (m) Details of bid, offer and clearing price limits as approved by the Economic Regulation Authority, in accordance with Market Rule 2.26, 4.16 and 6.20, including:
 - (i) the Maximum Reserve Capacity Price;
 - (ii) the Maximum STEM Price;
 - (iii) the Alternative Maximum STEM Price;
 - (iv) the Minimum STEM Price;
 - (v) the Maximum Shutdown Price.
- (n) The following Reserve Capacity information:
 - (i) Requests for Expressions of Interest for the previous five Reserve Capacity Cycles, in accordance with Market Rule 4.2.3;
 - (ii) the summary of Requests for Expressions of Interest for the previous five Reserve Capacity Cycles, in accordance with Market Rule 4.2.7;
 - (iii) the Reserve Capacity Information Pack for the previous five Reserve Capacity Cycles, in accordance with Market Rule 4.7.2;
 - (iv) the total amount of Capacity Credits held by each supplier of Capacity Credits;
 - (v) the identity of each Market Participant from which AEMO procured Capacity Credits in the most recent Reserve Capacity Auction, and the total amount procured;
 - (vi) for each Special Price Arrangement for each Registered Facility:
 - (A) the amount of Reserve Capacity covered;
 - (B) the term of the Special Price Arrangement; and
 - (C) the Special Reserve Capacity Price applicable to the Special Price Arrangement;

- (vii) all Reserve Capacity Offer quantities and prices, including details of the bidder and facility, for a Reserve Capacity Auction;
 - (viii) reports summarising facility tests and reasons for delays in those tests, in accordance with Market Rule 4.25.11.
- (o) Ancillary Service report, in accordance with Market Rule 3.11.11B.
- (p) The following generation information:
- (i) the sum of the Metered Schedule generation for Scheduled Generators and Non-Scheduled Generators registered to the Electricity Generation Company;
 - (ii) the sum of the Metered Schedule generation for Scheduled Generators and Non-Scheduled Generators registered to Market Participants other than the Electricity Generation Company; and
 - (iii) the sum of the Resource Plan schedule generation for Scheduled Generators and Non-Scheduled Generators registered to Market Participants other than the Electricity Generation Company,
- for each Trading Interval in each completed Trading Day in the previous 12 calendar months.
- (q) The following STEM summary information:
- (i) for the total STEM Offer quantity;
 - (ii) the total STEM Bid quantity;
 - (iii) whether the STEM was suspended in relation to the relevant Trading Interval;
 - (iv) where the STEM was not suspended, the STEM quantity purchased by AEMO; and
 - (v) where the STEM was not suspended, the STEM Clearing Price,
- each Trading Interval in each completed Trading Day in the previous 12 calendar months, and:
- (vi) the STEM Offers by Market Participant;
 - (vii) the STEM Bids by Market Participant;
 - (viii) the quantity bought or sold in the STEM by Market Participant; and
 - (ix) the Fuel Declaration, Availability Declaration and, if applicable, Ancillary Service Declaration made by the Market Participant,
- for each Trading Interval in each completed Trading Week during the 12 calendar months ending on the last day of the calendar month two months prior to the current calendar month.
- (r) The following dispatch summary information:
- (i) the values of MCAP, UDAP and DDAP;
 - (ii) the Load Forecasts prepared by System Management, in accordance with Market Rule 7.2.1;
 - (iii) the sum of the Metered Schedule load for all Non-Dispatchable Load;
 - (iv) Dispatchable Load, Interruptible Load and Curtailable Load;
 - (v) estimates of the energy not served due to involuntary load curtailment; and
 - (vi) any shortfalls in Ancillary Services,

- for each Trading Interval in each completed Trading Day in the previous 12 calendar months.
- (s) Market Advisories and Dispatch Advisories released in the previous 12 months, in accordance with Market Rules 6.19 and 7.11.
 - (t) Loss Factors for each network connection point, in accordance with Market Rule 2.27.
 - (u) Statement of Opportunities Report, most current version, in accordance with Market Rule 4.5.13.
 - (v) Medium term PASA report, in accordance with Market Rule 3.16.9.
 - (w) Short-term term PASA report, in accordance with Market Rule 3.17.2.
 - (x) Details and associated public information of resolved Disputes, except for aspects which, in accordance with its confidentiality status class, cannot be made public.
 - (y) Public consultation proceedings.
 - (z) Public Reports pertaining to the Wholesale Electricity Market issued by:
 - (i) the IMO;
 - (ii) AEMO
 - (iii) System Management;
 - (iv) the Energy Review Board;
 - (v) the Economic Regulation Authority; or
 - (vi) the Minister.
 - (aa) Event reports explaining what happened during unusual market or dispatch events except for aspects which, in accordance with its confidentiality status class, cannot be made public.
 - (bb) AEMO, the IMO and System Management budget information for the current financial year, in accordance with Market Rules 2.22 and 2.23.
 - (cc) A schedule of fees for services provided by AEMO, in accordance with Market Rule 2.24.
 - (dd) Summary information pertaining to the account maintained by AEMO for market settlement for the preceding 24 calendar months, including:
 - (i) the end of month balance;
 - (ii) the total income received for transactions in each of the Reserve Capacity Mechanism, the STEM, Balancing, Market Fees, System Operation Fees, Regulator Fees and a single value for all other income;
 - (iii) the total outgoings paid for transactions in each of the Reserve Capacity Mechanism (excluding Supplementary Capacity Contracts), Supplementary Capacity Contracts, the STEM, Balancing and a single value for all other expenses; and
 - (iv) Service Fee Settlement Amount paid to AEMO, the IMO, System Management and the Economic Regulation Authority.
 - (ee) The non-compliance cost, in accordance with Market Rule 9.10A.2.
 - (ff) Reports providing the non-compliance quantity by the Electricity Generation Company, in accordance with Market Rule 7.13.1(cC).
 - (gg) Reports providing Balancing Support Contract energy dispatch quantities, in accordance with Market Rule 7.13.1(dA).

- (hh) The STEM Price for each Trading Interval of the current Trading Month which STEM auction results have been released to Market Participants, in accordance with Market Rule 6.4.
- (ii) In accordance with Market Rule 7.2.1(b):
 - (i) the values of MCAP, UDAP and DDAP; and
 - (ii) the load forecast prepared by System Management,for each Trading Interval of the current Trading Month for which balancing price results have been released to Market Participants.
- (jj) For each completed Trading Interval of the current Trading Month, where these values are to be published as soon as possible after real-time:
 - (i) the total generation in that Trading Interval;
 - (ii) the total spinning reserve in that Trading Interval;
 - (iii) an initial value of the Operational System Load Estimate, taken directly from System Management's EMS/SCADA system.
- (kk) Market Surveillance Data Catalogue, in accordance with Market Rule 2.16.2.
- (ll) Any subsequent explanations provided by Participants in relation to STEM submissions, in accordance with Market Rule 2.16.9B.

1.6. SWIS Restricted Status

- 1.6.1. Unless otherwise provided in the Market Rules, the types of market related information and documents listed shall have the confidentiality status of SWIS Restricted.
 - (a) Summary information on Disputes in progress that may impact other Rule Participants.
 - (b) Schedules of Planned Outages, in accordance with Market Rule 3.18.2.
 - (c) Dispatch Merit Order, current version, in accordance with Market Rule 6.12.
 - (d) Audit reports.
 - (e) Documentation of the functionality of:
 - (i) any software used to run the Reserve Capacity Auction;
 - (ii) the STEM Auction software;
 - (iii) the Settlement System software.

1.7. Rule Participant Market Restricted

- 1.7.1. Unless otherwise provided in the Market Rules, the types of market related information and documents listed shall have the confidentiality status of Rule Participant Market Restricted.
 - (f) All Reserve Capacity Offer information issued by that Market Participant and all details of Special Price Arrangements for that Market Participant prior to the publication of that information, in accordance with Market Rule 10.5.1(f).
 - (g) Market Participant specific Reserve Capacity Obligations, in accordance with Market Rule 4.12.
 - (h) Market Customer specified Individual Reserve Capacity Requirements partitioned into those associated with Intermittent Loads and those not associated with Intermittent Loads, in accordance with Market Rule 4.28.
 - (i) For each completed Trading Day for the past 12 months:

- (i) Market Participant specific Bilateral Submissions, Resource Plan Submissions, Balancing Data Submissions and Standing Balancing Data submissions used in the absence of a Balancing Data Submission;
 - (ii) Market Participant specific STEM Submissions and Standing STEM Submissions used in the absence of a STEM Submission except that information published under public status.
- (j) For the past 12 months:
- (i) Non-STEM Settlement Statements; and
 - (ii) STEM Settlement Statements.
- (k) Information as part of investigation into alleged breaches of the Market Rules, in accordance with Market Rule 2.13.
- (l) Information provided by Participants to the Economic Regulation Authority which is considered necessary or desirable for the IMO to monitor effectiveness of the Market, in accordance with Market Rule 2.16.6.
- (m) Metered quantity data, in accordance with Market Rule 2.37.4.
- (n) Trading Margin, in accordance with Market Rule 2.41.4.
- (o) Security limit, in accordance with Market Rule 3.2.4.
- (p) Reserve Capacity Security, in accordance with Market Rule 4.13.5.

1.8. Rule Participant Dispatch Restricted Status

- 1.8.1. Unless otherwise provided in the Market Rules, the types of market related information and documents listed shall have the confidentiality status of Rule Participant Dispatch Restricted.
- (a) Market Participant Specific Dispatch Schedules, in accordance with Market Rule 10.2.1.
 - (b) Scheduling and Dispatch information provided by the Electricity Generation Corporation, in accordance with Market Rule 7.6A, except any information allocated another status.

1.9. System Management Confidential

- 1.9.1. Unless otherwise provided in the Market Rules, the types of market related information and documents listed shall have the confidentiality status of System Management Confidential.
- (a) [Currently Blank].

1.10. IMO and AEMO Confidential

- 1.10.1. Unless otherwise provided in the Market Rules, the types of market related information and documents set out in Table 1-6 shall have the confidentiality status of AEMO Confidential.
- (a) Information from the Economic Regulation Authority related to compliance with the Market Rules and compliance with licences, in accordance with Market Rule 2.15.18.
- 1.10.2. Information from non-Participants, except where permission granted or the Market Rules provide for the release of the information. This Procedure provides the processes to be followed by:
- (a) the IMO and AEMO in developing and approving Procedure Change Proposals;
 - (b) System Management in developing Procedure Change Proposals; and
 - (c) Rule Participants participating in the Procedure Change Process.